

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Teruo Umemoto  
Serial No.: 10/023,365  
Filed: December 14, 2001  
Confirmation No.: 2747  
Title: METHOD FOR PREPARING POLYMERS CONTAINING CYCLOPENTANONE STRUCTURES

Examiner:  
Group Art Unit: 1712  
Docket: 40222.0003USC1  
Notice of Allow.  
Date:

**CERTIFICATE UNDER 37 CFR 1.10:**

"Express Mail" mailing label number: EV077414180US  
Date of Deposit: April 29, 2002

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By: Mary C. Notter  
Name: Mary C. Notter

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Preliminary Amendment Including Version With Markings To Show Changes Made
- ☒ Return postcard

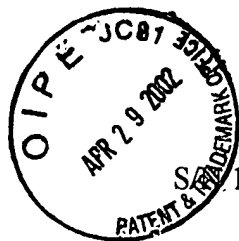
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MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
303.357.1670

By: John R. Wahl  
Name: John R. Wahl  
Reg. No.: 33,044  
JRWahl/mcn



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PATENT

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*5/9/02*

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By:

*Mary C. Notter*  
Mary C. Notter

PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please amend the application as follows:

IN THE CLAIMS:

Please amend claims 3, 11, and 14 to read as set forth below.

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91  
3. (Amended) The method of claim 1 wherein the electrolyte in the electrolytic mixture of the first or second electrolysis is selected from the group consisting of  $\text{LiPF}_6$ ,  $\text{NaPF}_6$ ,  $\text{KPF}_6$ ,  $\text{LiBF}_4$ ,  $\text{KBF}_4$ ,  $(\text{CH}_3)_4\text{NPF}_6$ ,  $(\text{C}_2\text{H}_5)_4\text{NPF}_6$ ,  $(\text{C}_2\text{H}_5)_4\text{NBF}_4$ , and mixtures thereof.

92  
11. (Amended) The method of claim 8 wherein the ester is a carbonic ester selected from the group consisting of ethylene carbonate, propylene carbonate, butylenes carbonate, dimethyl carbonate, diethyl carbonate, ethyl methyl carbonate, and mixtures thereof.

93  
14. (Amended) The method of claim 1 wherein the first electrolysis further comprises a reference electrode for voltage control.